



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20230  
www.uspto.gov



Bib Data Sheet

CONFIRMATION NO. 4942

SERIAL NUMBER	FILING DATE	CLASS	GROUP ART UNIT	ATTORNEY DOCKET NO.
09/997.384	11/15/2001 RULE	435	1645	P2730P1C35

## APPLICANTS

~~Avi J. Ashkenazi, San Mateo, CA;~~  
~~Kevin P. Baker, Darnestown, MD;~~  
~~David Betstein, Belmont, CA;~~  
~~Lue Desnoyers, San Francisco, CA;~~  
~~Dan L. Eaton, San Rafael, CA;~~  
~~Napoleone Ferrara, San Francisco, CA;~~  
~~Sherman Fong, Alameda, CA;~~  
~~Hanspeter Gerber, San Francisco, CA;~~  
~~Mary E. Gerritsen, San Mateo, CA;~~  
~~Audrey Goddard, San Francisco, CA;~~  
~~Paul J. Godowski, Hillsborough, CA;~~  
~~J. Christopher Grimaldi, San Francisco, CA;~~  
~~Austin L. Gurney, Belmont, CA;~~  
~~Ivar J. Kijavini, Lafayette, CA;~~  
~~Mary A. Napier, Hillsborough, CA;~~  
~~James Pan, Belmont, CA;~~  
~~Nicholas F. Paoni, Belmont, CA;~~  
~~Margaret Ann Roy, San Francisco, CA;~~  
~~Timothy A. Stewart, San Francisco, CA;~~  
~~Daniel Tomas, Orinda, CA;~~  
~~Colin K. Watanabe, Moraga, CA;~~  
~~P. Mickey Williams, Half Moon Bay, CA;~~  
~~William I. Wood, Hillsborough, CA;~~  
~~Zemin Zhang, Foster City, CA;~~

BEST AVAILABLE COPY

AR 3/7/16

## \*\* CONTINUING DATA \*\*\*\*\*

This application is a CON of 09/941,992 08/28/2001  
 which claims benefit of 60/230,978 09/07/2000  
 said 09/941,992 is a CON of PCT/US00/08439 03/30/2000  
 which claims priority to 60/145,698 07/26/1999  
 said PCT/US00/08439 is a CIP of PCT/US00/04914 02/24/2000  
 which claims priority to 09/380,137 08/25/1999  
 which is a 371 of PCT/US99/12252 06/02/1999  
 which claims priority to 60/096,146 08/11/1998

AR

AND CLAIMS BENEFIT OF 60/087,607 06/02/1998  
 AND CLAIMS BENEFIT OF 60/087,609 06/02/1998  
 AND CLAIMS BENEFIT OF 60/087,759 06/02/1998  
 AND CLAIMS BENEFIT OF 60/087,827 06/03/1998  
 AND CLAIMS BENEFIT OF 60/088,021 06/04/1998  
 AND CLAIMS BENEFIT OF 60/088,025 06/04/1998  
 AND CLAIMS BENEFIT OF 60/088,026 06/04/1998  
 AND CLAIMS BENEFIT OF 60/088,028 06/04/1998

AND CLAIMS BENEFIT OF 60/088,029 06/04/1998  
AND CLAIMS BENEFIT OF 60/088,030 06/04/1998  
AND CLAIMS BENEFIT OF 60/088,033 06/04/1998  
AND CLAIMS BENEFIT OF 60/088,326 06/04/1998  
AND CLAIMS BENEFIT OF 60/088,167 06/05/1998  
AND CLAIMS BENEFIT OF 60/088,202 06/05/1998  
AND CLAIMS BENEFIT OF 60/088,212 06/05/1998  
AND CLAIMS BENEFIT OF 60/088,217 06/05/1998  
AND CLAIMS BENEFIT OF 60/088,655 06/09/1998  
AND CLAIMS BENEFIT OF 60/088,734 06/10/1998  
AND CLAIMS BENEFIT OF 60/088,738 06/10/1998  
AND CLAIMS BENEFIT OF 60/088,742 06/10/1998  
AND CLAIMS BENEFIT OF 60/088,810 06/10/1998  
AND CLAIMS BENEFIT OF 60/088,824 06/10/1998  
AND CLAIMS BENEFIT OF 60/088,826 06/10/1998  
AND CLAIMS BENEFIT OF 60/088,858 06/11/1998  
AND CLAIMS BENEFIT OF 60/088,861 06/11/1998  
AND CLAIMS BENEFIT OF 60/088,876 06/11/1998  
AND CLAIMS BENEFIT OF 60/089,105 06/12/1998  
AND CLAIMS BENEFIT OF 60/089,440 06/16/1998  
AND CLAIMS BENEFIT OF 60/089,512 06/16/1998  
AND CLAIMS BENEFIT OF 60/089,514 06/16/1998  
AND CLAIMS BENEFIT OF 60/089,532 06/17/1998  
AND CLAIMS BENEFIT OF 60/089,538 06/17/1998  
AND CLAIMS BENEFIT OF 60/089,598 06/17/1998  
AND CLAIMS BENEFIT OF 60/089,599 06/17/1998  
AND CLAIMS BENEFIT OF 60/089,600 06/17/1998  
AND CLAIMS BENEFIT OF 60/089,653 06/17/1998  
AND CLAIMS BENEFIT OF 60/089,801 06/18/1998  
AND CLAIMS BENEFIT OF 60/089,907 06/18/1998  
AND CLAIMS BENEFIT OF 60/089,908 06/18/1998  
THIS APPLICATION 09/997,384  
CLAIMS BENEFIT OF 60/089,947 06/19/1998  
AND CLAIMS BENEFIT OF 60/089,948 06/19/1998  
AND CLAIMS BENEFIT OF 60/089,952 06/19/1998  
AND CLAIMS BENEFIT OF 60/090,246 06/22/1998  
AND CLAIMS BENEFIT OF 60/090,252 06/22/1998  
AND CLAIMS BENEFIT OF 60/090,254 06/22/1998  
AND CLAIMS BENEFIT OF 60/090,349 06/23/1998  
AND CLAIMS BENEFIT OF 60/090,355 06/23/1998  
AND CLAIMS BENEFIT OF 60/090,429 06/24/1998  
AND CLAIMS BENEFIT OF 60/090,431 06/24/1998  
AND CLAIMS BENEFIT OF 60/090,435 06/24/1998  
AND CLAIMS BENEFIT OF 60/090,444 06/24/1998  
AND CLAIMS BENEFIT OF 60/090,445 06/24/1998  
AND CLAIMS BENEFIT OF 60/090,472 06/24/1998  
AND CLAIMS BENEFIT OF 60/090,535 06/24/1998  
AND CLAIMS BENEFIT OF 60/090,540 06/24/1998  
AND CLAIMS BENEFIT OF 60/090,542 06/24/1998  
AND CLAIMS BENEFIT OF 60/090,557 06/24/1998  
AND CLAIMS BENEFIT OF 60/090,676 06/25/1998  
AND CLAIMS BENEFIT OF 60/090,678 06/25/1998  
AND CLAIMS BENEFIT OF 60/090,690 06/25/1998  
AND CLAIMS BENEFIT OF 60/090,694 06/25/1998  
AND CLAIMS BENEFIT OF 60/090,695 06/25/1998  
AND CLAIMS BENEFIT OF 60/090,696 06/25/1998  
AND CLAIMS BENEFIT OF 60/090,862 06/26/1998  
AND CLAIMS BENEFIT OF 60/090,863 06/26/1998  
AND CLAIMS BENEFIT OF 60/091,360 07/01/1998  
AND CLAIMS BENEFIT OF 60/091,478 07/02/1998

**BEST AVAILABLE COPY**

**BEST AVAILABLE COPY**

AND CLAIMS BENEFIT OF 60/091,544 07/01/1998  
AND CLAIMS BENEFIT OF 60/091,519 07/02/1998  
AND CLAIMS BENEFIT OF 60/091,626 07/02/1998  
AND CLAIMS BENEFIT OF 60/091,633 07/02/1998  
AND CLAIMS BENEFIT OF 60/091,628 07/02/1998  
AND CLAIMS BENEFIT OF 60/091,646 07/02/1998  
AND CLAIMS BENEFIT OF 60/091,673 07/02/1998  
AND CLAIMS BENEFIT OF 60/091,978 07/07/1998  
AND CLAIMS BENEFIT OF 60/091,982 07/07/1998  
AND CLAIMS BENEFIT OF 60/092,182 07/09/1998  
AND CLAIMS BENEFIT OF 60/092,472 07/10/1998  
AND CLAIMS BENEFIT OF 60/093,339 07/20/1998  
AND CLAIMS BENEFIT OF 60/094,651 07/30/1998  
AND CLAIMS BENEFIT OF 60/095,282 08/04/1998  
AND CLAIMS BENEFIT OF 60/095,285 08/04/1998  
AND CLAIMS BENEFIT OF 60/095,302 08/04/1998  
AND CLAIMS BENEFIT OF 60/095,318 08/04/1998  
AND CLAIMS BENEFIT OF 60/095,321 08/04/1998  
AND CLAIMS BENEFIT OF 60/095,301 08/04/1998  
AND CLAIMS BENEFIT OF 60/095,325 08/04/1998  
AND CLAIMS BENEFIT OF 60/095,916 08/10/1998  
AND CLAIMS BENEFIT OF 60/095,929 08/10/1998  
THIS APPLICATION 09/997,384  
CLAIMS BENEFIT OF 60/096,012 08/10/1998  
AND CLAIMS BENEFIT OF 60/096,143 08/11/1998  
AND CLAIMS BENEFIT OF 60/096,146 08/11/1998  
AND CLAIMS BENEFIT OF 60/096,329 08/12/1998  
AND CLAIMS BENEFIT OF 60/096,757 08/17/1998  
AND CLAIMS BENEFIT OF 60/096,766 08/17/1998  
AND CLAIMS BENEFIT OF 60/096,768 08/17/1998  
AND CLAIMS BENEFIT OF 60/096,773 08/17/1998  
AND CLAIMS BENEFIT OF 60/096,791 08/17/1998  
AND CLAIMS BENEFIT OF 60/096,867 08/17/1998  
AND CLAIMS BENEFIT OF 60/096,891 08/17/1998  
AND CLAIMS BENEFIT OF 60/096,894 08/17/1998  
AND CLAIMS BENEFIT OF 60/096,895 08/17/1998  
AND CLAIMS BENEFIT OF 60/096,897 08/17/1998  
AND CLAIMS BENEFIT OF 60/096,949 08/18/1998  
AND CLAIMS BENEFIT OF 60/096,950 08/18/1998  
AND CLAIMS BENEFIT OF 60/096,959 08/18/1998  
AND CLAIMS BENEFIT OF 60/096,960 08/18/1998  
AND CLAIMS BENEFIT OF 60/097,022 08/18/1998  
AND CLAIMS BENEFIT OF 60/097,141 08/19/1998  
AND CLAIMS BENEFIT OF 60/097,218 08/20/1998  
AND CLAIMS BENEFIT OF 60/097,661 08/24/1998  
AND CLAIMS BENEFIT OF 60/097,952 08/26/1998  
AND CLAIMS BENEFIT OF 60/097,954 08/26/1998  
AND CLAIMS BENEFIT OF 60/097,955 08/26/1998  
AND CLAIMS BENEFIT OF 60/098,014 08/26/1998  
AND CLAIMS BENEFIT OF 60/097,971 08/26/1998  
AND CLAIMS BENEFIT OF 60/097,974 08/26/1998  
AND CLAIMS BENEFIT OF 60/097,978 08/26/1998  
AND CLAIMS BENEFIT OF 60/097,986 08/26/1998  
AND CLAIMS BENEFIT OF 60/097,979 08/26/1998  
AND CLAIMS BENEFIT OF 60/098,525 08/31/1998  
AND CLAIMS BENEFIT OF 60/100,634 09/16/1998  
AND CLAIMS BENEFIT OF 60/100,858 09/17/1998  
AND CLAIMS BENEFIT OF 60/113,296 12/22/1998  
AND CLAIMS BENEFIT OF 60/123,957 03/12/1999  
AND CLAIMS BENEFIT OF 60/141,037 06/23/1999

AND CLAIMS BENEFIT OF 60/143.048 07/07/1999  
 AND CLAIMS BENEFIT OF 60/144.758 07/20/1999  
 AND CLAIMS BENEFIT OF 60/145.698 07/26/1999  
 AND CLAIMS BENEFIT OF 60/146.222 07/28/1999  
 AND CLAIMS BENEFIT OF 60/149.396 08/17/1999  
 AND CLAIMS BENEFIT OF 60/158.663 10/08/1999  
 AND CLAIMS BENEFIT OF 60/213.637 06/23/2000  
 AND CLAIMS BENEFIT OF 60/230.978 09/07/2000

\*\* FOREIGN APPLICATIONS \*\*\*\*\*

PCT/US97/20069 11/05/1997  
 PCT/US98/19330 09/16/1998  
 PCT/US98/19437 09/17/1998  
 PCT/US98/21141 10/07/1998  
 PCT/US98/25108 12/01/1998  
 PCT/US99/00106 01/05/1999  
 PCT/US99/05028 03/08/1999  
 PCT/US99/12252 06/02/1999  
 PCT/US99/21090 09/15/1999  
 PCT/US99/21547 09/15/1999  
 PCT/US99/28313 11/30/1999  
 PCT/US99/28301 12/01/1999  
 PCT/US99/28634 12/01/1999  
 PCT/US99/30095 12/16/1999  
 PCT/US99/30911 12/20/1999  
 PCT/US00/00219 01/05/2000  
 PCT/US00/00376 01/06/2000  
 PCT/US00/03565 02/11/2000  
 PCT/US00/04341 02/18/2000  
 PCT/US00/04414 02/22/2000  
 PCT/US00/04814 02/24/2000  
 PCT/US00/05004 02/24/2000  
 PCT/US00/05841 03/02/2000  
 PCT/US00/06319 03/10/2000  
 PCT/US00/06884 03/15/2000  
 PCT/US00/07377 03/20/2000  
 PCT/US00/08439 03/30/2000  
 PCT/US00/13358 05/15/2000  
 PCT/US00/14042 05/22/2000  
 PCT/US00/15264 06/02/2000  
 PCT/US00/13705 05/17/2000  
 PCT/US00/14941 05/30/2000  
 PCT/US00/20710 07/28/2000  
 PCT/US00/22031 08/11/2000  
 PCT/US00/23522 08/23/2000  
 PCT/US00/23328 08/24/2000  
 PCT/US00/30952 11/08/2000  
 PCT/US00/32678 12/01/2000  
 PCT/US01/06520 02/28/2001  
 PCT/US01/17800 06/01/2001  
 PCT/US01/19692 06/20/2001  
 PCT/US01/21066 06/29/2001  
 PCT/US01/21735 07/09/2001

BEST AVAILABLE COPY

IF REQUIRED, FOREIGN FILING LICENSE GRANTED

\*\* 03/20/2002

Foreign Priority claimed

☐ yes ☒ no

35 USC 119 (a-d) conditions  
met

☐ yes ☐ no ☐ Met after  
Allowance

STATE OR  
COUNTRY

SHEETS  
DRAWING

TOTAL  
CLAIMS

INDEPENDENT  
CLAIMS

Verified and Acknowledged	<u>Samuel Roney</u> <u>SR</u> Examiner's Signature Initials	CA	330	13	2
<b>ADDRESS</b> Paul E. Rauch, Ph.D. Brinks, Hofer, Gilson & Lione NBC Tower - Suite 3600 455 N. Cityfront Plaza Drive Chicago, IL 60611-5599					
<b>BEST AVAILABLE COPY</b>					
<b>TITLE</b> Secreted and transmembrane polypeptides and nucleic acids encoding the same					
<b>FILING FEE RECEIVED 740</b>	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit		